



ACP Science and Technology Programme

9 ACP RPR 611
BL 21.031700





Seminar DAAD Bonn, Germany 6 February 2009



OBJECTIVES (1)

Overall objective :

To support ACP countries in formulating and implementing S&T policies that can lead to sustainable development and poverty reduction through economic growth and progressive integration in the world economy.

Purpose (3 levels) :

To strengthen the internal S&T capacity of ACP countries: (1) Institutional, administrative and policy making; (2) Academic research and technology; (3) Business and civil society.



OBJECTIVES (2)

Emphasis (3 axes) with Expected results (5) :

- **Promotion of interdisciplinary approaches to sustainable development:**
 - **Co-ordination + networking in applied research**
 - **Networks established or consolidated (intra ACP-level with linkages to international networks)**
 - **Increased capacity to assess research needs to facilitate the formulation and implementation of research policies**



OBJECTIVES (3)

Emphasis (3 axes) with Expected results (5) :

- **Instruments for collaborative research:**
 - **Increased capacity and incentives to research network partners to prepare and submit project proposals**
- **Management of research activities + reinforcement of research quality:**
 - **Research results better capitalized and disseminated**
 - **Quality of research results improved**



PRIORITIES

Focus (6 areas) :

- **Quality health care**
- **Environmental research activities**
- **Energy**
- **Transport**
- **Agriculture and agro-industry**
- **Sustainable trade**



STRUCTURE

Organisation :

Funds **European Community (EC)**
Management **ACP Secretariat**

One Call for Proposals :

2 Lots **1. European Development Fund (EDF)**
 2. General Budget of the EC (BUDGET)

Budget **EUR 33,000,000** **Lot 1 = 28 M; Lot 2 = 5 M**

Deadline **13 March 2009**

Countries **79 ACP countries** **Lots 1 + 2**
 27 EU Member States **Lots 1 + 2**
 3 EU Candidate countries **Lot 2**
 3 EEA EFTA States **Lot 2**



PROCESS



CALENDAR

Nov. 2008

13 March 2009

Oct.-Nov. 2009

Dec. 2012

- **Publicity**
- **Submission deadline**
- **Evaluation of proposals**
- **Evaluation Committee's report**
- **Approval evaluation report by ACP Secretariat**
- **Contract preparation**
- **Publicity**
- **Start implementation of projects**
- **Monitoring**
- **Completion of projects**



EVALUATION

Step 1 – Opening session and administrative check



Step 2 – Evaluation Concept Note



Step 3 – Evaluation of Full Application Form



Step 4 – Eligibility check



CALL FOR PROPOSALS



SPECIFICITIES

Budget :

- **Size of Grants : EUR 350,000 – 1,000,000**
exception : up to EUR 3,000,000 (Applicant is regional or inter-state body; activities ≥ 4 of the 6 ACP regions + formulation and implementation of S&T policies)
- **Grants : awarded up to 85% of total eligible costs**
min. of 15% from own contributions

Miscellaneous :

- **Number of proposals per Applicant : up to 3 with the same partner organisations (only 1 > € 3 M)**
- **Language of the application : English or French**



ELIGIBILITY (1)

Partnership :

- At least 3 organisations from at least 2 different ACP States (exception: established ACP S&T networks; regional or inter-state bodies)
- Number of ACP partners > number of non-ACP partners

Applicant and Partners :

- legal persons
- 3-year record of activities in research and S&T
- directly responsible for the project
- demonstrable management experience and capacity
- stable and sufficient sources of finance
- belong to eligible countries (exception: int. organisations)



ELIGIBILITY (2)

Applicant and Partners :

- category:

- ACP national and regional S&T actors + similar EU actors working in partnership with ACP counterparts.
- Regional S&T institutions (separate legal status).
- Established ACP S&T networks.
- regional or inter-state bodies to which one or more ACP States belong, such as the AUC, SADC, ECOWAS, ...).

Associates :

- no eligibility criteria



ELIGIBILITY (3)

Type of Actions :

1. Support to Co-ordination and networking in applied research:

- Promotion and strengthening of networks.
- Establishment of research frameworks.
- Multi-stakeholder in-depth assessments of research and innovation needs in S&T.
- Organisation of public debates and consultations on research needs.



ELIGIBILITY (4)

Type of Actions :

2. Support to Instruments for collaborative research:

- **Establishment or consolidation of intra ACP advisory or observatory bodies on research and innovation.**
- **Definition and implementation of technical instruments.**
- **Benchmarking good practices and designing 'models of research instruments' towards inter-academia bonds.**



ELIGIBILITY (5)

Type of Actions :

3. Support to Management of research activities and reinforcement of research quality:

- **Definition and promotion of peer review and evaluation procedures and indicators.**
- **Implementation of multi-stakeholder activities to capitalize, disseminate and implement knowledge and innovations.**
- **Organisation of short-term training sessions to strengthen and upgrade the competences of the scientific community.**



ELIGIBILITY (6)

Type of Costs :

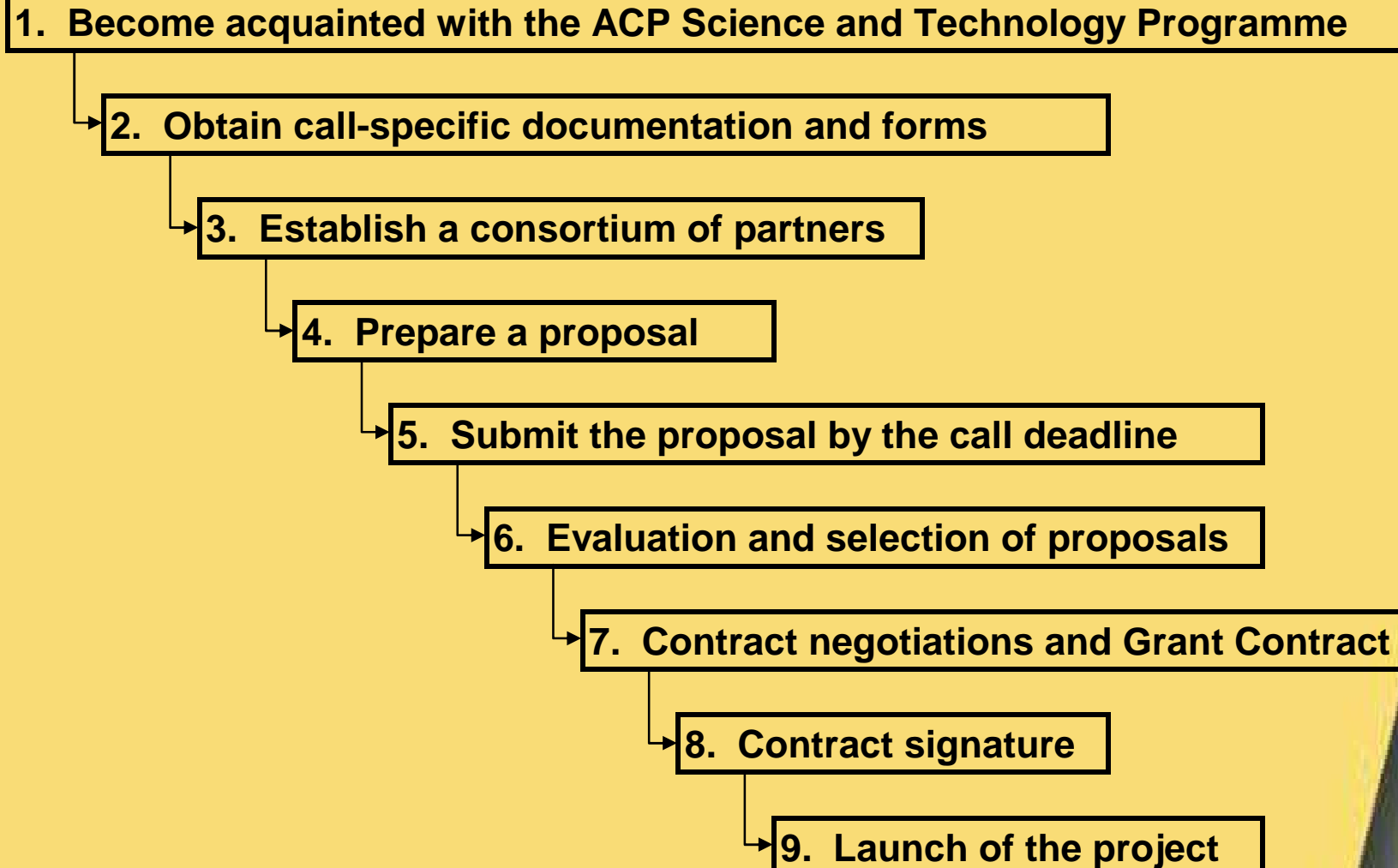
▪ Eligible costs:

- Human resources (staff)
- Travel (national, international, stakeholder meetings)
- Equipment and supplies
- Local office
- Other costs, services (e.g., subcontractors, visibility)
- Contingencies (max. 5% of eligible direct costs)
- Administrative costs (max. 7% of total eligible direct costs)

▪ Contributions in kind



BEFORE COMPLETING THE FORMS





PLANNING THE PROJECT

- **Start forming a partnership.**
- **Deploy own resources.**
- **Prepare a working calendar.**
- **Develop the Logical Framework Matrix (LFM) - result from applying the *'Logical Framework Approach'*.**
- **Involve all partners, associates, other stakeholders, ...**



SOME IMPORTANT CRITERIA WHEN DRAFTING THE PROPOSAL (1)

- **Follow the rules of the Call and Guidelines.**
- **Study the Call documents.**
- **Study the evaluation and selection procedure.**
- **Catchy project title.**
- **Thorough analysis of the project background.**
- **Convincing Concept Note.**



SOME IMPORTANT CRITERIA WHEN DRAFTING THE PROPOSAL (2)

- **Clear objectives, methods and results.**
- **Well-structured work plan (proposal + project).**
- **Appropriate project cycle management.**
- **Realistic and cost-effective budget.**
- **Clear text structure.**
- **Good quality language.**
- **Do not forget any documents!**



DRAFTING THE PROPOSAL (1)

- **Involve the consortium.**
- **Obtain the *'Partnership Statements'*.**
- **Work out the contents of the LFM.**
- **Plan the resources.**
- **Complete the application forms.**
- **Consult the Guidelines for Grant Applicants.**



DRAFTING THE PROPOSAL (2)

- **Consult the Manual for preparing a grant application.**
- **Consult the website of the Programme (FAQ, ...).**
- **Consult sources of further guidance** (Manual p.68, ...).
- **Validate the proposal.**
- **Send the full set of application forms.**
- **Manage the proposal preparation time.**
- ...



Documents to be submitted (1)

Grant Application Form :

Part A - Concept Note

1. Title of the Action
2. Relevance of the Action
3. Description of the Action and its effectiveness
4. Sustainability of the Action



Documents to be submitted (2)

Grant Application Form :

Part B - Full Application Form

- I. The Action
- II. The Applicant
- III. The Partners
- IV. The Associates
- V. Checklist
- VI. Declaration by the Applicant
- VI. Assessment Grid

Annexes: Budget (2 sheets) + Logical Framework



Documents to be submitted (3)

Supporting documents (in case of provisional selection) :

- **Statutes or articles of association (Applicant and partners)**
- **Profit and loss account and balance sheet (Applicant)**
- **Legal Entity Sheet (Applicant) ***
- **Financial Identification Form (Applicant) ***
- **Details on the audit firm**

* Guidelines for Grant Applicants (p. 30)

ACP Science and Technology Programme



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